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Morningstar Funds – Additional Investment Application Form (Class B)



This application form is for an existing investor in a Morningstar fund that wishes to make an additional investment in that fund or a different fund.

Section A: Investment Details

Investor Number

Investor Name

Please confirm you have read the PDS of your selected fund(s) by ticking in the space provided. Please specify the dollar amount to be invested in each fund and the total amount of your investment below:

Fund Name	ARSN	PDS Issue Date	Minimum Additional Investment Amount (\$)	Investment Amount (\$)	Tick to indicate PDS Read
Morningstar High Growth Real Return Fund - Class B	092 226 358	30 September 2020	100,000.00	\$ <input type="text"/>	<input type="checkbox"/>
Morningstar Growth Real Return Fund - Class B	092 234 136	30 September 2020	100,000.00	\$ <input type="text"/>	<input type="checkbox"/>
Morningstar Balanced Real Return Fund - Class B	092 229 975	30 September 2020	100,000.00	\$ <input type="text"/>	<input type="checkbox"/>
Morningstar Moderate Real Return Fund - Class B	092 232 589	30 September 2020	100,000.00	\$ <input type="text"/>	<input type="checkbox"/>
Total				\$ <input type="text"/>	

Section B: Payment

Electronic Fund Transfer

If you provide a correctly completed additional investment application form and cleared funds **in full** prior to the 2:00pm (Sydney time) cut-off on a Business Day, you will generally pay the entry price calculated as at the close of business on that Business Day.

For correctly completed additional investment application forms and cleared funds **in full** received after the 2:00pm (Sydney time) cut-off, you will generally pay the entry price calculated as at the close of business on the next Business Day.

Please deposit funds to the below bank account:

Morningstar Bank Details

Financial Institution:	JPMorgan Chase Bank Australia
Branch Address:	Sydney
Account Name:	Morningstar IM ATF MIF Apps a/c
Account Number:	016-050-301
BSB Number:	212-200
SWIFT Address:	CHASAU2X
Reference:	<Insert client name>

Note: We do not accept cheques.

Declaration and Signatures

I/We acknowledge and declare that:

1. I/We have received, read and understood the PDS for the selected Fund(s) identified on page 1 of this Additional Investment Application Form and the Additional Information Document including the Privacy Statement.
2. I/We consent to the collection, use and disclosure of my/our personal information in accordance with the Privacy Statement in the Additional Information Document, Morningstar's Privacy Policy available at morningstarinvestments.com.au/privacy-policy and the Privacy Policy of the custodian of the Fund(s) available at morningstarinvestments.com.au/custodian-privacy-policy (including the disclosure of my personal information to certain countries located outside of Australia).
3. I/We acknowledge that by providing consent to the disclosure of my/our personal information outside Australia, I/we acknowledge that neither Morningstar nor the custodian are required to ensure that any overseas recipients handle my/our personal information in accordance with Australian privacy laws and that overseas recipients are subject to foreign law that could compel the disclosure of my/our personal information to a third party such as an overseas authority. I/We acknowledge that investments in the Fund(s) are not deposits with or other liabilities of Morningstar, or of any related corporation of Morningstar, and are subject to investment risk, including possible delays in repayment and loss of capital invested.
4. I/We confirm this Additional Application Form accompanies the PDS for the selected Fund(s).
5. I/We agree to be bound by the terms and conditions set out in the PDS for the Fund(s), the terms of the Fund's/Funds' Constitution/s and the law and any agreement between Morningstar and myself/ourselves, in each case as amended from time to time.
6. I/We further acknowledge that neither Morningstar, nor any of its related companies, directors, officers, the investment managers appointed or its external service providers, guarantee that my/our investment will gain or retain its value, guarantee the repayment of capital or guarantee the performance of the Fund(s).
7. I/We consent to receive any requisite notifications electronically (or as preferred by Morningstar).
8. I/We declare that all details given in this Form are accurate and complete and that I/we have the power to invest in the Fund(s).
9. I/We am/are Australian resident(s) and have received and accepted this offer in Australia.
10. I/We agree to give further information or personal details that Morningstar reasonably believes is required in order to meet its obligations under anti-money laundering, counter-terrorism or taxation legislation. By making this application, I/we represent and covenant that the funds I/we are investing are not the proceeds of crime, money laundering or connected with the financing of terrorism.
11. I/We agree that, Morningstar may in its absolute discretion determine not to issue units to me/us, refuse to transfer units from or to me/us may cancel any units which have been issued to me/us or may redeem any units issued to me/us if Morningstar believes such action to be necessary or desirable in the light of its obligations under Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (Cth) (**AML/CTF Act**) or any related legislation.
12. I/We agree that subject to any cooling off rights, once this Additional Investment Application Form has been received by Morningstar or its agents, it cannot be withdrawn.
13. I/We declare that I am/we are not commonly known by any other names different from those disclosed in this Form.
14. I/We declare any documents or information used for verification purposes in support of my/our application are complete and correct.
15. I/We acknowledge that it may be a criminal offence to knowingly provide false, forged, altered or falsified documents or misleading information or documents when completing this Additional Investment Application Form.
16. I/We acknowledge that if I/we fail to pay the full amount of the application monies in respect of the units the subject of this application either at the same time as making this application, or by any later date agreed with us, the amount owing together with any costs, expenses or damages incurred in relation to the Fund(s) as a result of the non-payment, shall be a debt payable by me/us to Morningstar in relation to the relevant Fund(s), and either no units will be issued to me/us or any units which have been issued may be cancelled or redeemed by Morningstar in its discretion

Declaration and Signatures

17. I/We agree to meet the costs, expenses or penalties incurred by the Fund(s) in relation to the realisation of Fund assets to meet any redemption request I/we make, or any request for redemption proceeds to be paid to me/us by a particular method, and any other costs, expenses penalties, losses, liabilities or damages or other amounts incurred by Morningstar or the Fund(s) on my/our behalf, or other amounts owing by me/us in relation to the Fund(s), and to repay any amount paid by Morningstar to redeem my/our units in order to meet such costs, expenses penalties, losses, liabilities, damages or other amounts owing in respect of the Fund(s) and in order to meet any outstanding management fees payable by me/us to Morningstar.
18. I/We indemnify Morningstar and its agents in respect of any loss, claim or liability incurred by any of them relying on or acting pursuant to this Additional Investment Application Form or any notices, instructions and requests received by authorised signatories.
19. I/We agree that, if I/we have provided an email address to Morningstar, Morningstar (and any of its agents appointed for this purpose) may correspond with me/us using the email address provided for any purpose related to the administration of my/our investment in the Fund(s).
20. Where I am/we are signing this application form behalf of a company that is acting as the trustee of a trust and the provider of a "custodial or depository service" within the meaning of item 46 of Table 1 of subsection 6(2) of the AML/CTF Act, I/we represent and warrant that:
 - (a) the applicant either holds an Australian financial services licence (**AFSL**) authorising it to provide custodial or depository services under the *Corporations Act 2001* (Cth) or is exempt from the requirement to hold such an AFSL;
 - (b) the applicant's name and enrolment details are entered on the Reporting Entities Roll kept by AUSTRAC under the AML/CTF Act; and
 - (c) the applicant has carried out all applicable customer identification and ongoing customer due diligence obligations in accordance with Chapter 15 of the *Anti-Money Laundering and Counter-Terrorism Rules Instrument 2007 (No. 1)* (Cth) on its underlying customer(s).

Warranties as to Commonwealth Anti-Money Laundering and Counter-Terrorism, CRS and FATCA-for Both Existing and New Investors

Where the Fund invests in overseas funds which are subject to US legislation, the investment managers need to know that investors in the Fund are not involved in money laundering so that those managers can meet their own anti-money laundering obligations under US law. Therefore, by making this application, you represent and covenant that neither you, nor any Related Person, are:

21. A person or entity whose name appears on the US Specially Designated Nationals List.
22. A Non-US Shell Bank.
23. A person or entity resident in, or whose subscription funds are transferred from or through, an account in a Non-Cooperative Jurisdiction.
24. A Senior Non-US Political Figure.
25. A member of the Immediate Family of a Senior Non-US Political Figure.
26. A Close Associate of a Senior Non-US Political Figure.
27. A resident in, organised or chartered under the laws of, a jurisdiction that has been designated by the Secretary of the Treasury under Section 311 or 312 of the USA PATRIOT Act as warranting special measures due to money laundering concerns.
28. Entities and/or their persons that are subject to economic sanction including, without limitation, all applicable sanctions regimes promulgated by the United Nations, the European Union, the U.S. Office of Foreign Assets Control, and/or any other applicable jurisdiction's economic sanctions laws; and that the funds that you are investing do not originate from, nor will be routed through, an account maintained at a Non-US Shell Bank, an 'offshore bank' or a bank organised or chartered under the laws of a Non-Cooperative Jurisdiction.
29. You will provide Morningstar or its nominee any information that Morningstar reasonably requires in order to enable it to meet all of its compliance, reporting and other obligations under the United States of America Foreign Account Tax Compliance Act ('FATCA') and Common

Reporting Standards for Automatic Exchange of Information in Tax Matters, and all associated rules and regulations from time to time (including, without limitation, the Inter-Governmental Agreement ('IGA') entered into between the governments of the US and Australia).

30. You understand that where you have provided Morningstar or its nominee with information about your status or designation under or for the purposes of FATCA and Common Reporting Standards for Automatic Exchange of Information in Tax Matters (including, but without limitation, US residency or citizenship status and FATCA status as a particular entity type) and all associated rules and regulations, Morningstar will treat that information as true and correct without any additional validation or confirmation being undertaken by Morningstar except where it is under a legal obligation to do so

You agree to notify Morningstar promptly of any change in information affecting any of these representations and covenants.

Section C: Signatories

This form must be physically signed by all authorised signatories.

I/We declare that I/we have read, understood and agree to the terms and conditions contained within the relevant PDS and any related Incorporated Material to which this form applies.

Name of Investor 1

Signature of Investor 1

Date

Capacity

Individual

Partner

Director

Joint Investor

Trustee

Agent for the Investor

Sole Trader

Sole Director

Authorised Signatory

Name of Investor 2

Signature of Investor 2

Date

Capacity

Individual

Partner

Director

Joint Investor

Trustee

Agent for the Investor

Sole Trader

Sole Director

Authorised Signatory

What's Next?

Thank you for completing the Additional Investment Application Form. Please send your carefully completed form to the below address.

Note: We do not accept cheques.

Please send or fax your completed additional investment application form to:

Attention: Morningstar Unit Registry
C/- Link Market Services Limited
PO Box 3721
Rhodes NSW 2138
Fax: +61 2 9287 0357

To help speed up the additional investment application process, we ask that you call us on 1800 951 999 or email us at invest@morningstar.com.au to let us know that your completed Additional Investment Application Form has been submitted.