



# Morningstar Funds – Additional Investment Application Form (Class B)

effective from 30 November 2023

This Additional Investment Application Form is for an existing investor in a Morningstar Fund that wishes to make an additional investment in that Fund or make an investment in a different Morningstar Fund.

If you have invested in a Morningstar Fund through a broker or platform, please contact your broker or platform provider directly. Please do not use this form.

You can download a copy of the Product Disclosure Statement (PDS) and Additional Information Document (AID) from [www.morningstarinvestments.com.au/classb](http://www.morningstarinvestments.com.au/classb)

Alternatively, a copy of the PDS and AID can be obtained free of charge on request by calling Morningstar on 1800 951 999 or via email at [invest@morningstar.com.au](mailto:invest@morningstar.com.au)

## Section A: Investment Detail

Investor Number

Investor Name

Please confirm you have read the PDS and AID of your selected Fund(s) by selecting in the space provided. Please specify the dollar amount to be invested in each Fund and the total amount of your investment below:

Fund Name	ARSN/APIR	PDS Issue Date	Minimum Additional Investment Amount (\$)	Investment Amount (\$)	Select to Indicate PDS and AID Read
Morningstar High Growth Real Return Fund - Class B	092 226 358/ INT0043AU	30 November 2023	100,000.00	\$	
Morningstar Growth Real Return Fund - Class B	092 234 136/ INT0039AU	30 November 2023	100,000.00	\$	
Morningstar Balanced Real Return Fund - Class B	092 229 975/ INT0029AU	30 November 2023	100,000.00	\$	
Morningstar Moderate Real Return Fund - Class B	092 232 589/ INT0035AU	30 November 2023	100,000.00	\$	
Morningstar Multi Asset Real Return Fund - Class B	092 232 356/ INT6454AU	30 November 2023	100,000.00	\$	
Morningstar Multi-Asset All Growth Fund - Class B	140 450 835/ INT9583AU	30 November 2023	100,000.00	\$	
Total				\$	

Please save this form in a way that allows you to maintain access to it before completing.  
Your web browser may not support the print and save functionality in this form.

## General Details

### Section B: Payment

#### Electronic Funds Transfer

If you provide a correctly completed Additional Investment Application Form and payment in cleared funds is received **in full**, prior to the 2:00pm (Sydney time) cut-off on a Business Day, you will generally pay the entry price calculated as at the close of business on that Business Day.

For correctly completed Additional Investment Application Form and payment in cleared funds **in full** received after the 2:00pm (Sydney time) cut-off, you will generally pay the entry price calculated as at the close of business on the next Business Day.

Please deposit funds to the below bank account:

#### Morningstar Bank Details:

Financial Institution:	JPMorgan Chase Bank Australia
Branch Address:	Sydney
Account Name:	Morningstar IM ATF MIF Apps a/c
Account Number:	016-050-301
BSB Number:	212-200
SWIFT Address:	CHASAU2X
Reference:	<Insert client name>

**Note: We do not accept cheques.**

# Declaration and Signatures

1. I/We confirm that I/we have received, read and understood the PDS for the selected Fund(s) identified on page 1 of this Additional Investment Application Form and the AID including the Privacy Statement.
2. I/We consent to the collection, use and disclosure of my/our personal information in accordance with the Privacy Statement in the AID, Morningstar's Privacy Policy available at <https://www.morningstar.com/en-au/company/privacy-policy> and the Privacy Policy of the custodian of the Fund(s) available at [www.morningstarinvestments.com.au/custodian-privacy-policy](http://www.morningstarinvestments.com.au/custodian-privacy-policy) (including the disclosure of my personal information to certain countries located outside of Australia).
3. By providing consent to the disclosure of my/our personal information outside Australia, I/we acknowledge that neither Morningstar Investment Management Australia Limited (ABN 54 071 808 501, AFSL 228986) ('Morningstar') nor the custodian are required to ensure that any overseas recipients handle my/our personal information in accordance with Australian privacy laws and that overseas recipients are subject to foreign law that could compel the disclosure of my/our personal information to a third party such as an overseas authority.
4. I/We confirm this Additional Investment Application Form accompanies the PDS for the selected Fund(s).
5. I/We agree to be bound by the terms and conditions set out in the PDS and the AID for the Fund(s), the terms of the Fund's/Funds' Constitution and the law and any agreement between Morningstar and me/us, in each case as amended from time to time.
6. I/We acknowledge that investments in the Fund(s) are not deposits with or liabilities of Morningstar, or of any related corporation of Morningstar, and are subject to investment risk, including possible delays in repayment and loss of capital invested.
7. I/We further acknowledge that neither Morningstar, nor any of its related companies, directors, officers, the investment managers appointed or its external service providers, guarantee that my/our investment will gain or retain its value, guarantee the repayment of capital or guarantee the performance of the Fund(s).
8. I/We consent to receive any requisite notifications electronically (or as preferred by Morningstar) unless I/we have expressly requested for a particular kind of document to be sent to me in hard copy.
9. I/We declare that all details given in this Additional Investment Application Form are accurate and complete and that I/we have the power to invest in the Fund(s).
10. I/We am/are Australian resident(s) and have received and accepted this offer in Australia.
11. I/We agree to give further documents and/or information or (which may include personal details) that Morningstar reasonably believes is/are required in order to meet its obligations under anti-money laundering, counter-terrorism or taxation legislation. By making this application, I/we represent and covenant that the funds I am/we are investing are not the proceeds of crime, money laundering or connected with the financing of terrorism.
12. I/We agree that Morningstar may in its absolute discretion determine not to issue units to me/us, refuse to transfer units from or to me/us, may cancel any units which have been issued to me/us or may redeem any units issued to me/us if Morningstar believes such action to be necessary or desirable in the light of its obligations under the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (Cth) (AML/CTF Act) or any related legislation.
13. I/We agree that subject to any cooling-off rights, once this Additional Investment Application Form has been received by Morningstar or its agents, it cannot be withdrawn.
14. I/We declare that I am/we are not commonly known by any other name/s different from the name/s disclosed in this Additional Investment Application Form.
15. I/We declare that any documents or information used for verification purposes in support of my/our application are complete and correct.
16. I/We acknowledge that it may be a criminal offence to knowingly provide false, forged, altered or falsified documents or misleading information or documents when completing this Additional Investment Application Form.
17. I/We acknowledge that if I/we fail to pay the full amount of the application monies in respect of the units the subject of this application either at the same time as making this application, or by any later date agreed with Morningstar, the amount owing together with any costs, expenses or damages incurred in relation to the Fund(s) as a result of the non-payment, shall be a debt payable by me/us to Morningstar in relation to the relevant Fund(s), and either no units will be issued to me/us or any units which have been issued may be cancelled or redeemed by Morningstar in its discretion.

## Declaration and Signatures

18. I/We agree to meet the costs, expenses or penalties incurred by the Fund(s) in relation to the realisation of Fund assets to meet any redemption request I/we make, or any request for redemption proceeds to be paid to me/us by a particular method, and any other costs, expenses, penalties, losses, liabilities or damages or other amounts incurred by Morningstar or the Fund(s) on my/our behalf, or other amounts owing by me/us in relation to the Fund(s), and to repay any amount paid by Morningstar to redeem my/our units in order to meet such costs, expenses, penalties, losses, liabilities, damages or other amounts owing in respect of the Fund(s) and in order to meet any outstanding management fees payable by me/us to Morningstar.
19. I/We indemnify Morningstar and its agents in respect of any loss, claim or liability incurred by any of them relying on or acting pursuant to this Additional Investment Application Form or any notices, instructions and requests received by authorised signatories.
20. I/We agree that, if I/we have provided an email address to Morningstar, Morningstar (and any of its agents appointed for this purpose) may correspond with me/us using the email address provided for any purpose related to the administration of my investment in the Fund(s).
21. Where I am/we are signing this Additional Investment Application Form on behalf of a company that is acting as a trustee of a trust and the provider of a "custodial or depository service" within the meaning of item 46 of Table 1 of Subsection 6(2) of the AML/CTF Act, I/we represent and warrant that:
  - (a) the applicant either holds an Australian financial service licence (AFSL) authorising it to provide custodial or depository services under the *Corporations Act 2001* (Cth) or is exempt from the requirement to hold such an AFSL;
  - (b) the applicant's name and enrolment details are entered on the Reporting Entities Roll kept by AUSTRAC under the AML/CTF Act; and
  - (c) the applicant has carried out all applicable customer identification and ongoing customer due diligence obligations in accordance with Chapter 15 of the *Anti-Money Laundering and Counter-Terrorism Rules Instrument 2007 (No. 1)* (Cth) on its underlying customer(s).

## Representations and Covenants Around Compliance with the Requirements of Certain Ex-Australia Tax Reporting and Anti-Money Laundering Legislation

Because the Fund(s) may be subject to the laws of other jurisdictions (e.g., those of the U.S.A. and the E.U.) as a result of its receipt/retention/investment of investor monies, the investment managers need certain information/representations from investors in the Fund regarding their compliance with any applicable laws of those other jurisdictions (e.g., those related to money laundering, tax reporting, etc.) in order for the investment managers themselves to meet their reporting/review/compliance obligations under law. To this end, by executing and delivering this application document, you represent and covenant that neither you, nor any immediately family member nor any third person (whether an individual or an entity) with whom it is publicly known that you maintain any kind of unusually close relationship are:

1. A person whose name appears on the U.S. Specially Designated Nationals and Blocked Persons List published by the U.S. Department of Treasury;
2. A non-U.S. shell bank (i.e., a financial institution without a physical presence in any jurisdiction), as that term is more fully described in the U.S.A. Patriot Act ("Non-U.S. Shell Bank");
3. A person who resides in, or whose Fund subscription funds are transferred from, a jurisdiction that: (a) has been designated (e.g., pursuant to action by the European Commission or the Financial Action Task Force) as a "Non-Cooperative Jurisdiction" because of its non-compliance with international money laundering principles or processes; or (b) has been designated by the U.S. Secretary of the Treasury as warranting "special measures" under the provisions of the U.S.A. Patriot Act;
4. A Senior Non-U.S. Political Figure (i.e., a senior official in the executive, legislative, administrative, military or judicial branches of any non-U.S. jurisdiction; or a senior official of a major non-U.S. political party; or a senior executive of a non-U.S., government-owned entity or any entity that has been formed by or for the benefit of such person);
5. Funding your investment in the Fund(s) with any monies originating from or passing through any financial institution: (a) whose banking license was issued by a jurisdiction designated as a Non-Cooperative Jurisdiction; (b) whose banking license was issued by a jurisdiction designated by the U.S. Secretary of the Treasury as warranting "special measures" under the provisions of the U.S.A. Patriot Act; (c) that has itself been designated by the U.S. Secretary of the Treasury as warranting special measures; (d) that qualifies as a Non-U.S. Shell Bank; or (e) that qualifies as an "offshore bank" (i.e., a bank whose license excepts it from conducting activities within the territory of the jurisdiction in which its banking license was issued or with citizens of that jurisdiction); and
6. Are subject to economic sanctions of any kind, including, without limitation, all sanctions regimes promulgated by the United Nations, the European Union, or the United States of America.

## Declaration and Signatures

7. You agree to promptly provide Morningstar or its nominee with any information/documentation that Morningstar reasonably requires to allow Morningstar to meet its reporting and/or other compliance obligations under all applicable laws, including, without limitation, those of Australia, the United States of America, and the European Union and its member states. You represent that all such information/documentation (including, without limitation, the information provided in this application document) will be correct and complete as of the date provided and you understand and agree that Morningstar will treat that information/documentation as being correct and complete without the obligation to perform additional validation or confirmation (except where otherwise required by law to do so.) You further covenant that you will promptly notify Morningstar of any changes to such information/documentation as you reasonably become aware of them, including, without limitation, any changes to any of your responses to the above representations and covenants.

You agree to notify Morningstar promptly of any change in information affecting any of these representations and covenants.

Declaration and Signatures

Section C: Signatories

If you are paying via electronic funds transfer, this form must be physically signed by all authorised signatories.

I/We declare that I/we have read, understood and agree to the terms and conditions contained within the relevant PDS and any related AID to which this form applies.

Name of Investor 1

Signature of Investor 1

Title	Given Name(s)	Surname	Date
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Capacity			
Individual	Partner	Director	
Joint Investor	Trustee	Authorised Signatory	
Sole Trader	Sole Director		

Name of Investor 2

Signature of Investor 2

Title	Given Name(s)	Surname	Date
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Capacity			
Individual	Partner	Director	
Joint Investor	Trustee	Authorised Signatory	

Where do I send the Additional Investment Application Form?

Thank you for completing the Additional Investment Application Form.

**Note: We do not accept cheques.**

Please send or fax your completed Additional Investment Application Form to:

Attention: Morningstar Unit Registry  
C/- Link Market Services Limited  
Locked Bag 5038  
Parramatta NSW 2124  
Fax: +61 2 9287 0357

To help speed up the application process, we ask that you call us on 1800 951 999 or email us at [invest@morningstar.com.au](mailto:invest@morningstar.com.au) to let us know that your completed Additional Investment Application Form has been submitted.